MERCER

MARSH MERCER KROLL
GUY CARPENTER OLIVER WYMAN

MERCER WEALTH SOLUTIONS™

ACH authorization agreement

Mail to:
Mercer Securities
P.O. Box 9746, Providence, RI 02940-1208
For information:
Contact a Mercer Securities representative toll free at 1-866-727-7277.

Please complete the following fields to begin the electronic transfer of funds between your Mercer Securities account and your bank account. You may begin depositing funds into your Mercer Securities account from your bank account, or send payments to your bank account from your Mercer Securities account. All transactions are processed through the Automated Clearing House (ACH) System.

Print clearly in CAPITAL LETTERS. Mark small boxes with an x.

1. Account information

Account number

Tax ID or Social Security number – –

Account Registration

2. Bank account information

ABA routing number

Bank name

City

State

Tape your **voided** check or **voided** deposit/withdrawal slip* here

(Do not staple.)

Bank or credit union routing information.

* To make contributions from your checking account, please tape a voided check so we may obtain proper account information. To make contributions from your savings account, please tape a voided, preprinted deposit/withdrawal slip.

For corporate accounts, a corporate resolution displaying the corporate stamp, and a letter from the corporation authorizing the specific transactions for which you are permitted to debit and credit the bank account must accompany this transaction.

I (we) hereby authorize Pershing LLC to initiate credit and debit entries to the bank account indicated above and further authorize my (our) Bank to credit and debit the same to such account.

This authority is to remain in full force and effect until Pershing LLC has received written notification from me (or either of us) of its termination in such time and in such manner as to afford Pershing LLC and the Bank a reasonable opportunity to act on it. It is understood that if the systematic reinvestment system is selected the purpose of this authorization is to provide a means of payment for purchases of securities through my investment professional or financial organization.

With this application, I authorize my bank/credit union to accept debit contracts initiated by Mercer Securities to my account and to debit, as requested, the same to my account, without responsibility for correctness thereof or for the existence of my further authorization relating thereto. I authorize Mercer to make a follow-up attempt in retrieving these monies which are denied due to insufficient funds. I agree to indemnify and hold harmless my bank/credit union, Pershing LLC, and Mercer Securities for any loss, liability, or expense incurred from acting on these instructions. This authorization may be terminated by me at any time by written notification to Mercer Securities, with reasonable time given to implement my request. Amendment: Mercer may amend the Agreement in this paragraph at any time. We will notify you of any changes before such changes go into effect by alerting you on your statement or confirmation.

Primary account owner's name

Joint account owner's name

Signature of primary account owner *Date*

Signature of joint account owner *Date*

01001MSACH

MERCER SECURITIES USE ONLY Print clearly in CAPITAL LETTERS.

ACH type ☐ *Periodic deposits to brokerage account* ☐ *Periodic distributions to bank account* ☐ *Income distributions to bank account*
☐ *Periodic purchase of mutual funds (NOTE: ACH is contingent upon the execution of periodic mutual fund purchases.)*

Frequency ☐ *Semi-monthly* ☐ *Monthly* ☐ *Bi-monthly* ☐ *Quarterly* ☐ *Semi-annually* ☐ *Annually*

Contribution amount for deposits and distributions (whole dollar amounts only): *Amount* $, *Date* month/day/year / /

Brokerage accounts are cleared through Pershing LLC, member of FINRA, NYSE and SIPC.
Mercer HR Services, Mercer Securities and MMC Securities Corp. are affiliates of and owned by Marsh & McLennan Companies and are not affiliated with Pershing LLC.
Mercer Securities, a division of MMC Securities Corp. is a registered broker/dealer and member FINRA/SIPC.